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Customer Number

Patent  
Case No.: 53852US013

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: OUDERKIRK, ANDREW J.

Application No.: 09/890482

Group Art Unit: 2872

Filed: January 28, 1999

Examiner: Boutsikaris, Conidas

Title: INFRARED INTERFERENCE FILTER

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING OR TRANSMISSION 37 CFR § 1.8(a)**

I hereby certify that this correspondence is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

**NOV 15 2004**

Date

Signed by: Gwen J. Ziehl

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner.

Copies of any cited foreign patents, foreign publications, non-patent literature documents; and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional

fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

By: 

Jay R. Palle, Reg. No.: 52,131  
Telephone No.: (651) 733-6750

Date

Nov 15, 2004  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

Substitute for form 1449/PTO (modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 1	Application Number	09/890482
	Filing Date	July 26, 2001
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	1772
	Examiner Name	—
	Attorney Case Number	53852US013

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 5,360,659	11/01/94	Arends et al.	
	A2	US- 5,882,774	03/16/1999	Jonza et al.	
	A3	US- 6,049,419	4/11/2000	Wheatley et al.	
	A4	US- 6,157,490	12/05/2000	Wheatley et al.	
	A5	US- 6,179,948	01/30/2001	Merrill et al.	
	A6	US-			
	A7	US-			
	A8	US-			

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	PCT	WO 95/17303	6/29/95			
	B2	PCT	WO 97/01778	1/16/97			
	B3	JP	9-22657 Eng. Abstract	1997			
	B4	JP	8-231245 Eng. Abstract	1996			
	B5						
	B6						
	B7						
	B8						

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Weber et al., "ANTI-REFLECTIVE POLYMER CONSTRUCTIONS AND METHOD FOR PRODUCING SAME", US Serial No. 09/006,379, filed 1/13/98
	C2	

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	